Introductory sub-table (I)(a)

| Name of the Company: Kalptaru Papers Limited |  |  |  |
| :---: | :---: | :---: | :---: |
| Scrip Code : 590036 |  |  |  |
| Name of the scrip: Kalpaper |  |  |  |
| Class of security: Equity |  |  |  |
| Quarter ended: 31-12-2011 |  |  |  |
|  |  |  |  |
| Partly paid-up shares:- | No. of partly paid-up shares | As a \% of total no. of partly paid-up shares | As a $\%$ of total no. of shares of the company |
|  |  |  |  |
| Held by promoter/promoter group | 0 | 0 | 0 |
| Held by public | 0 | 0 | 0 |
|  |  |  |  |
| Total - D | 0 | 0 | 0 |
| Outstanding convertible securities: |  |  |  |
|  | $\begin{gathered} \text { No. of } \\ \text { outstanding } \\ \text { securities } \end{gathered}$ | As a \% of total no. of outstanding convertible securities | As a \% of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter group | 0 | 0 | 0 |
| Heid by public | 0 | 0 | 0 |
|  |  |  |  |
| Total - E | 0 | 0 | 0 |
| Warrants:- | No. of warrants | As a \% of total no. of warrants | As a \% of total no. of shares of the company, assuming full conversion of warrants |
| Held by promoter/promoter group | 0 | 0 | 0 |
| Held by public | 0 | 0 | 0 |
|  |  |  |  |
| Total -F | 0 | 0 | 0 |
|  |  |  |  |
| Total pard-up capital of the company, assuming full conversion of warrants and convertible securities | 4468120 | 100.00 | 100.00 |


|  | Statement Showing Shareholding Patterin |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Table (I)(a) |  |  |  |  |  |  |  |
| Category code | Category of Shareholder | $\begin{array}{\|c\|} \hline \text { Number of } \\ \text { Shareholders } \end{array}$ | Total number of shares | Number of shares held in dematerialized form | Total share percentag number | holding as a e of total of shares | Shares Pled enc | ged or otherwise mbered |
|  |  |  |  |  | As apercentage <br> of(A $(A+B)^{1}$ | As a percentage of $(A+B+C)$ | Number of shares | As a percentage |
| (I) | (II) | (III) | (IV) | (v) | (VI) | (VII) | (VIII) | $(\mathrm{IX})=(\mathrm{VIII}) /(\mathrm{IV}) * 100$ |
| (A) | Shareholding of Promoter and Promoter Group ${ }^{2}$ |  |  |  |  |  |  |  |
| 1 | Indian |  |  |  |  |  |  |  |
| (a) | Individuals/ Hindu Undivided Family | 11 | 1357958 | 1357958 | 30.39 | 30.39 | 0 | 0.00 |
| (b) | Central Government/State Government(s) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (c) | Bodies Corporate | 2 | 1297350 | 1297350 | 29.04 | 29.04 | 0 | 0.00 |
| (d) | Financial Institutions/ Banks | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (e) | Any Others(Specify) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
|  |  |  |  |  |  |  |  |  |
|  | Sub Total(A)(1) | 13 | 2655308 | 2655308 | 59.43 | 59.43 | 0 | 0.00 |
|  |  |  |  |  |  |  |  |  |
| 2 | Foreign |  |  |  |  |  |  |  |
| a | Individuals (Non-Residents Individuals/ Foreign Individuals) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| b | Bodies Corporate | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| c | Institutions | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| d | Any Others(Specify) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
|  |  |  | 0 | 0 - 0 | 0.00 | 0.00 | 0 | 0.00 |
|  | Sub Total(A)(2) | 0 | 0 | 0 - 0 | 0.00 | 0.00 | 0 | 0.00 |
|  | Total Shareholding of Promoter and Promoter Group $(A)=(A)(1)+(A)(2)$ | 13 | 3655308 | 2655308 | 59.43 | 59.43 | 0 | 0.00 |
|  |  |  |  |  |  |  |  |  |


| Statement Showing Shareholding Pattern |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Table (I)(a) |  |  |  |  |  |  |  |  |
| Category code | Category of Shareholder | Number of Shareholders | Total number of shares | Number of shares held in dematerialized form | Total share percenta number | olding as a e of total of shares | Shares Pled enc | ged or otherwise mbered |
|  |  |  |  |  | As a percentage of $(A+B)^{1}$ | As a percentage of $(A+B+C)$ | Number of shares | As a percentage |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | $(\mathrm{IX})=(\mathrm{VIII}) /(\mathrm{IV}) * 100$ |
| (B) | Public shareholding |  |  |  |  |  | N.A. | N.A. |
| 1 | Institutions |  |  |  |  |  |  |  |
| (a) | Mutual Funds/ UTI | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
| (b) | Financial Institutions ' Banks | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
| (c) | Central Government/State Government(s) | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
| (d) | Venture Capital Funds | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
| (e) | Insurance Companies | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
| (f) | Foreign Institutional Investors | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
| (g) | Foreign Venture Capital Investors | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
| (h) | Any Other (specify) | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
|  |  |  |  |  |  |  |  |  |
|  | Sub-Total (B)(1) | 0 | 0 | 0 | 0.00 | 0.00 |  |  |
|  |  |  |  |  |  |  |  |  |
| B 2 | Non-institutions |  |  |  |  |  |  |  |
| (a) | Bodies Corporate | 72 | 210510 | 209610 | 4.71 | 4.71 | N.A. | N.A. |
| (b) | Individuals |  |  |  |  |  |  |  |
| 1 | i. Individual shareholders holding nominal share capital upto Rs. 1 lakh | 2149 | 945074 | 810474 | 21.15 | 21.15 |  |  |
|  | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | 21 | 576026 | 561726 | 12.89 | 12.89 |  |  |
|  | Any Other (specify) |  |  |  | 0.00 | 0.00 |  |  |
| (c.i) | Clearing Member | 11 | 38022 | 38022 | 0.85 | 0.85 |  |  |
| ( c - i) | Non-Resident Indian | 11 | 43180 | 43180 | 0.97 | 0.97 |  |  |
| - $\mathrm{s}^{-1}$ | Non-Residenhan |  |  |  |  |  |  |  |
|  | Sub-Total (B)(2) | 2264 | 1812812 | [1663012 | 40.57 | 40.57 |  |  |


| Statement Showing Shareholding Pattern |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Table (I)(a) |  |  |  |  |  |  |  |  |
| Category code | Category of Shareholder | Number of Shareholders | Total number of shares | Number of <br> shares held in <br> dematerialized <br> form | Total shareholding as a percentage of total number of shares |  | Shares Pledged or otherwise encumbered |  |
|  |  |  |  |  | As a percentage of $(A+B)^{1}$ | As a percentage of $(A+B+C)$ | Number of shares | As a percentage |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) $=(\mathrm{VHI}) /(\mathrm{IV})^{*} 100$ |
|  |  |  |  |  |  |  |  |  |
| (B) | Total Public Shareholding $(B)=(B)(1)+(B)(2)$ | 2264 | 1812812 | 1663012 | 40.57 | 40.57 |  |  |
|  |  |  |  |  |  |  |  |  |
|  | TOTAL (A)+(B) | 2277 | 4468120 | 4318320 | 100.00 | 100.00 |  |  |
|  |  |  |  |  |  |  |  |  |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued |  |  |  |  |  |  |  |
| 1 | Promoter and Promoter Group | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 |
| 2 | Public | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 |
|  | Sub-Total (C) | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 |
|  |  |  |  |  |  |  |  |  |
|  | GRAND TOTAL (A)+(B)+(C) | 2277 | 4468120 | 4318320 | 100.00 | 100.00 | 0 | 0.00 |

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group".

| $\begin{aligned} & \hline \text { Sr. } \\ & \text { No. } \end{aligned}$ | Name of the shareholder | Details of Shares held |  | Encumbered shares (*) |  |  | Details of warrants |  | Details of convertible securities |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a \% of diluted share capital |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Number of shares held | As a \% of grand total (A) $+(B)+(C)$ | No. | As a percentage | As a \% of <br> grand total <br> (A)+(B)+(C) <br> of sub-clause <br> (I)(a) | Number <br> of <br> warrants <br> held | As a \% <br> total <br> number <br> of <br> warrants <br> of the <br> same <br> class | $\begin{array}{\|c\|} \hline \text { Number } \\ \text { of } \\ \text { converti } \\ \text { ble } \\ \text { securities } \\ \text { heId } \\ \hline \end{array}$ | As a \% <br> total <br> number <br> of <br> converti <br> ble <br> securities <br> of the <br> same <br> class |  |
| (i) | (II) | (III) | (IV) | (V) | $(V)=(V) /(I I) * 100$ | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | N. N. PAPERS PVT. LTD. | 750700 | 16.80 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 2 | KALPTARU FINCAP LIMITED | 546650 | 12.23 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 3 | JAI KISHAN GUPTA | 420670 | 9.41 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 4 | NAVEEN JAI KISHAN GUPTA | 311820 | 6.98 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 5 | USHA GUPTA | 209920 | 4.70 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 6 | SAVITA GUPTA | 138264 | 3.09 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 7 | RUBI GUPTA | 85100 | 1.90 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 8 | JAI KISHAN GUPTA ARPIT GUPTA HUF | 77050 | 1.72 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 9 | JAI KISHAN GUPTA NAVIN GUPTA HUF | 44850 | 1.00 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 10 | ARPIT GUPTA | 29134 | 0.65 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 11 | JAIKISHAN \& SONS HUF | 15600 | 0.35 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 12 | NIMIT NAVEEN GUPTA | 13000 | 0.29 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 13 | NAVEEN GUPTA HUF | 12550 | 0.28 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| TOTAL |  | 2655308 | 59.43 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons
belonging to the category "Public" and holding more than $1 \%$ of the total number of shares

| $\begin{aligned} & \text { Sr. } \\ & \text { No. } \end{aligned}$ | Name of the shareholder | Number of shares held | Shares as a percentage of total number of shares \{i.e., Grand Total <br> (A)+(B)+(C) indicated in <br> Statement at para (I)(a) above\} | Details 0 <br> Number of warrants held | of warrants <br> As a \% <br> total <br> number of warrants of the same class | Details of <br> secu <br> Number <br> of <br> convertibl <br> e <br> securities <br> held | convertible rities <br> \% w.r.t total number of convertible securities of the same class | Total shares <br> (including <br> underlying <br> shares <br> assuming full <br> conversion of <br> warrants and <br> convertible <br> securities <br> as a $\%$ of diluted <br> share <br> capital |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | AJAY GOENKA | 67122 | 1.50 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 2 | KALPEN R SHAH HUF | 63503 | 1.42 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 3 | HEMANG OMPRAKASH MEHTA | 58000 | 1.30 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| 4 | PAWAN GUPTA | 46000 | 1.03 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| TOT |  | 234625 | 5.25 | 0 | 0.00 | 0 | 0.00 | 0.00 |

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons ftogether with PAC) belonging to the category "Public" and holding more than $5 \%$ of the total number of shares of the company

| $\begin{aligned} & \hline \text { Sr. } \\ & \text { No. } \end{aligned}$ | Name(s) of the sharcholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares \{i.e., Grand Total $(\mathrm{A})+(\mathrm{B})+(\mathrm{C})$ indicated in Statement at para (I)(a) above\} | Details <br> Number <br> of warrants | of warrants <br> As a \% total number of warrants of the same class | Details of secu <br> Number of convertible securities held | convertible <br> rities <br> \% w.r.t <br> total <br> number of <br> convertible <br> securities <br> of the same <br> class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a $\%$ of diluted share capital |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Nil | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |
| TOT |  | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.00 |



## KALPTARU PAPERS LIMITED

(I)(d) Statement showing details of locked-in shares

| $\begin{aligned} & \hline \text { Sr. } \\ & \text { No. } \end{aligned}$ | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares \{i.e., Grand Total $(\mathrm{A})+(\mathrm{B})+(\mathrm{C})$ indicated in Statement at para (I)(a) above\} |
| :---: | :---: | :---: | :---: |
| 1 | Nil | 0 | 0.00 |
|  |  |  |  |
| TOTAL |  | 0 | 0.00 |

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. <br> No. | Type of outstanding DR <br> (ADRs, GDRs, SDRs, <br> etc.) | Number of outstanding <br> DRs | Number of shares <br> underlying outstanding <br> DRs | Shares underlying outstanding DRs as a <br> percentage of total number of shares <br> (i.e., Grand Total (A)+(B)+(C) indicated <br> in Statement at para (I)(a) above |
| :---: | :--- | :--- | :--- | :--- |
| 1 | Nil | 0 | 0 | 0.00 |
|  |  | 0 | 0 |  |
| TOTAL | 0 | 0.00 |  |  |

## KALPTARU PAPERS LIMITED

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlving shares held
by "promoter/promoter group" are in excess of $1 \%$ of the total number of shares

| Sr. No. | Name of the DR Holder | Type of outstanding DR <br> (ADRs, GDRs, SDRs, <br> etc.) | Number of shares <br> underiying outstanding <br> DRs | Shares underlying outstanding DRs as a <br> percentage of total number of shares (i.e., <br> Grand Total (A)+(B)+(C) indicated in <br> Statement at para (I)(a) above \} |
| :---: | :---: | :---: | :---: | :---: |
| 1 | Nil | 0 | 0.0 |  |
| TOTAL |  | 0 | 0 |  |

